PTO/SB/05 (08-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Under the Paperwork Reduction Act of 1995, no persons are req	uired to re		of information unless it displays a valid OMB control num			
		ey Docket No.	OSTEONICS 3.0-449			
UTILITY PATENT APPLICATION	First In	nventor	Michael J. Cusick			
	Titlo	APPARATUS FOR ALIGNING AN INSTRUMEN				

**DURING A SURGICAL PROCEDURE** 

F EV342605235US

APPLICATION ELEMENTS See MREP chanter 600 concerning utility patent application contents	MS Patent Application  Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450									
See MPEP chapter 600 concerning utility patent application contents.										
Fee Transmittal Form (e.g., PTO/SB/17)     (Submit an original, and a duplicate for fee processing)     Applicant claims small entity status.     See 37 CFR 1.27.	7 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)									
3. X Specification [Total Pages 30]	a. Computer Readable Form (CRF)									
(preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  ' Claim(s)	b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 CFR 3.73(b) Statement Power of									
- Abstract of the Disclosure 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7]	(when there is an assignee)									
5. Oath or Declaration [Total Sheets ]	12. Information Disclosure Copies of IDS									
a. Newly executed (original or copy)	Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment									
b. Copy from a prior application (37 CFR 1.63(d))	14. X Return Receipt Postcard (MPEP 503)									
(for continuation/divisional with Box 18 completed)	(Should be specifically itemized)									
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application,	(if foreign priority is claimed)									
see 37 CFR 1.63(d)(2) and 1.33(b).	Applicant must attach form PTO/SB/35 or its equivalent.									
6. X Application Data Sheet. See 37 CFR 1.76	17. Other:									
	the requisite information below and in the first sectores of the									
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:										
Prior application information: Examiner  Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
	NDENCE ADDRESS									
X Customer Number: 000530	OR Correspondence address below									
Name										
Address										
City State	Zip Code									
Country Telephone	Fax									
Name (Print/Type) Stephen B. Goldman	Registration No. (Attorney/Agent) 28,512									
Signature	Date January 27, 2004									

474572\_1.DOC



PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EEE TOANGMITTAL			Complete if Known								
FEE TRANSMITTAL	1	Application Number Not Yet Assigned									
for FY 2004		Filing Date				Concurrently Herewith					
1		First Named Inventor				Michael J. Cusick					
Effective 10/01/2003, Patent fees are subject to annual revision.		Examiner Name				Not Yet Assigned					
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					N/A					
TOTAL AMOUNT OF PAYMENT (\$) 1,586.00		Attorney Docket No. OSTEONICS 3.0-449									
METHOD OF PAYMENT (check all that apply)				FEE	CALCUL	ATION (co	ntinued)				
Check Credit Money Other None	3 /	ודוחח	ONAI	FFFS							
Crieck Card Order Order	" ′	3. ADDITIONAL FEES									
X Deposit Account:	Larg	Large Entity Small Entity									
Deposit Account 12-1095	Fee	Fee	Fee	Fee	•	Fee Desc	rintion				
Number	Code	(\$)	Code	(\$)		ree Desc	приоп	Fee Paid			
Deposit Account Lerner, David, Littenberg,	1051	130	2051	65	Surcharge -	– late filing fe	e or oath				
Name Krumholz & Mentlik, LLP The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	<ul> <li>late provision</li> </ul>	onal filing fee or cover	[			
	1053	420	1052	420		· · · · · · · · ·	_				
	1053	130	1053		•	h specification					
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	-	-	parte reexamination				
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804		Examiner a	publication o ction	•				
to the above-identified deposit account.	1805	1,840°	1805	1,840*	Requesting Examiner a	publication o					
FEE CALCULATION	1251	110	2251	55		or reply within	first month				
1. BASIC FILING FEE	1252	420	2252	210	Extension f	or reply within	second month				
Large Entity Small Entity	1253	950	2253	475	Extension f	or reply within	third month				
Fee Fee Fee Fee Fee Description Fee Paid  Code (\$) Code (\$)	1254	1,480	2254	740	Extension f	or reply within	fourth month				
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension f	or reply within					
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of A	ce of Appeal					
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brie	brief in support of an appeal					
1004 770 2004 385 Reissue filing fee	1403	290	2403		-	oral hearing					
1005 160 2005 80 Provisional filing fee	1451	1,510	1451			-	lic use proceeding				
SUBTOTAL (1) (\$) 770.00	1452 1453	110 1,330	2452 2453			evive – unavo evive - uninte					
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665		fee (or reissu	$\vdash$				
Extra Fee from	1502	480	2502		Design issu		,	$\vdash$			
Total Claims 51 -20** = 31 x 18.00 = 558.00	1503		2503		Plant issue			<b></b>			
Independent 6 -3** = 3 x 86.00 = 258.00	1460	130	1460			ns to the Commissioner					
Claims	1807	50	1807	50		fee under 37					
Multiple Dependent =	1806	180	1806				n Disclosure Stmt				
Large Entity   Small Entity   Fee   Fee	8021		8021	40			ssignment per				
Code (\$) Code (\$)		40				(times number of properties)					
1202	1809	770	2809	385	(37 ČFR 1.	129(a))	•	L			
203 290 2203 145 Multiple dependent claim, if not paid		770	2810	385		additional invention to be d (37CFR 1.129(b))					
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	• • • • • • • • • • • • • • • • • • • •						
over original patent	1802	900	1802	900		expedited ex	amination				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Other	fee (spe	cify)		of a design	application					
SUBTOTAL (2) (\$) 816.00	Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00										
SUBTOTAL (2) (\$) 816.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 **or number previously paid, if greater; For Reissues, see above											
SUBMITTED BY (Complete (if applicable))											
Name (Print/Type) Stephen B. Goldman		tration No. ley/Agent) 28,512 Telephone (908) 518-6333									
Signature	5.000	oy/Agent			·	Date	January 27, 20				
O'GITALOTO						Date	Juniually 21, 20	U-T .			